

UNITED STATES PATENT APPLICATION TRANSMITTAL FORM

17497 U.S. PTO
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Mail Stop Patent Application
COMMISSIONER FOR PATENTS
P.O. Box 1450
Alexandria, V.A. 22313-1450

Docket No.: 133690
USPTO No.: 27623

Sir:

Transmitted herewith for filing is the patent application of

Inventor(s): **Timothy Patrick Kelliher; Mark Lewis Grabb; Douglas H. Marman;
Peter Henry Tu and Jens Rittscher**

For: **MONITORING SYSTEM AND METHOD BASED ON
INFORMATION PRIOR TO THE POINT OF SALE**

Enclosed are:

☒ Specification (10 pps.) consisting of: Description (6 pps); Claims (3 pps);
Abstract (1 pp);

☒ 1 sheets of drawings;

☒ Declaration and Power of Attorney;

☒ An assignment of the invention to: **General Electric Company** including
\$40.00 recordation fee and Assignment Recordation Form Cover Sheet;

☒ Information Disclosure Statement (with copies of patent);

☒ Form - PTO-1449;

☐ The undersigned attorney has verified that the applicant is entitled to a
Small Entity Status; and

☐ Priority of U.S. Provisional Patent Application Serial No. _____, filed
on _____ is claimed under 35 U.S.C. §119(e).

☐ Priority of U.S. Patent Application Serial No. _____, filed on _____
_____ is claimed under 35 U.S.C. §120.

☐ Priority of application Serial No. _____ filed on _____, in
_____ is claimed under 35 U.S.C. §119;

☐ A Request and Certification Under 35 U.S.C. 122(b)(2)(B)(i).

The Filing Fee is calculated below.

CLAIMS AS FILED				
(1) For	(2) Number Filed	(3) Number Extra	(4) Rate	(5) Basic Fee \$770.00
Total Claims	12 - 20 =	0	x \$18.00	\$0
Independent Claims	3 - 3 =	0	x \$84.00	\$0
Multiple Dependent Claim Fee		x \$280.00 = \$0.00		
TOTAL FILING FEE		\$770.00		
1/2 FILING FEE FOR SMALL ENTITY		\$N/A		

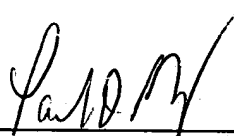
☐ No fee enclosed – filing by missing parts.

☒ A check in the amount of **\$810.00** (\$770 filing fee; \$40 assignment recordal fee) is enclosed.

☒ The Commissioner is hereby authorized to charge any additional fees under 37 C.F.R. §§1.16 and 1.17 which may be required with this communication or during the entire pendency of the application, or credit any overpayment, to **Deposit Account No. 01-0467**. A duplicate copy of this Form is enclosed.

Address all future communications to: **Jean Testa, Esq.**
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November 12, 2003
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I hereby certify that this paper or fee is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" Certificate No. **EL996769021US**, service under 37 CFR §1.10 and is addressed to: Mail Stop Patent Application, Commissioner for Patents, P.O. Box 1450, Alexandria, V.A. 22313-1450 on November 12, 2003.

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